

#6

DOCKET NO.: PHRM-0300

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

Lind et al.

**Serial No.:** 09/750,373

**Group Art Unit:** 1645

**Filing Date:** December 28, 2000

**Examiner:** not yet assigned

**For:** NOVEL G PROTEIN-COUPLED RECEPTORS

DATE OF DEPOSIT:

August 8, 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO OFFICE OF INITIAL PATENT EXAMINATION, CUSTOMER SERVICE CENTER, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

Gwilym J. Attwell  
TYPED NAME: Gwilym J. Attwell  
REGISTRATION NO.: 45,449

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington DC 20231  
Attention:

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:

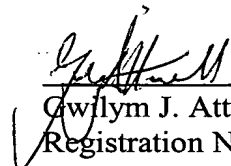
☒ incorrectly entered; and/or

☐ omitted.

<i>Error in</i>	<i>Correct data</i>
1. Applicants	1. The correct inventors should be Peter Lind, Linda S. Wood, Luis A Parodi and Gabriel Vogeli as evidenced by the executed declaration filed herewith in response to the Notice to File Missing Parts
2. Applicant's address	2.
3. Title	3.
4. Filing Date	4.
5. Serial Number	5.
6. Foreign/PCT Application Re:	6.
7. Other:	7.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: *Aug 18<sup>th</sup> 8, 2001*

  
Gwilym J. Attwell  
Registration No. 45,449

Woodcock Washburn Kurtz Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

DOCKET NO.: 0008US1/PHRM-0300

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Peter Lind et al

Serial No.: 09/750,373

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I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

Gwilym John Owen Attwell  
REGISTRATION NO.: 45,449

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
REQUEST FOR EXTENSION OF TIME

In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated March 29, 2001, a response to which is due May 29, 2001, enclosed herewith for filing is:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL531431273US on December 28, 2000
- ☒ An Associate Power of Attorney is also enclosed.
- ☐ Basic Filing Fee of @@ is enclosed together with the @@ surcharge.
- ☒ Request for Corrected Filing Receipt.

08/15/2001 SHINASS1 00000078 09750373

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890.00 DP

- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.
- ☐ \_\_\_\_\_ Sheets of Substitute drawings in compliance with 37 C.F.R. 1.84.
- ☐ Other: \_\_\_\_\_
- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
- ☐ a Small Business Concern
- ☐ a Nonprofit Organization
- ☒ Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application of March 29, 2001 to and through August 29, 2001, comprising an extension of the shortened period of 3 months:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
MISSING PARTS SURCHARGE	\$65	\$	\$130	\$ 130
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$195	\$	\$390	\$
<input checked="" type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$445	\$	\$890	\$ 890
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$695	\$	\$1390	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$945	\$	\$1890	\$
TOTAL FEE DUE				\$1020

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

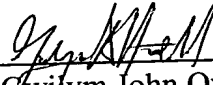
Total fee required \$1020

- ☒ A check in the amount of \$1020 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$ \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
  - ☒ Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

This sheet is attached in duplicate.

Date: August 8, 2001

  
 Gwilym John Owen Attwell  
 Registration No. 45,449

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 Mackiewicz & Norris LLP  
 One Liberty Place - 46th Floor  
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Page 1 of 4

MD/GOJACB  
Receipt



Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/750,373	12/28/2000	1645	4588	008USPHRM300		89	13

CONFIRMATION NO. 7317

FILING RECEIPT



\*OC000000005916839\*

26657

WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP  
ATTENTION: SUZANNE E. MILLER ESQ.  
ONE LIBERTY PLACE, 46TH FLOOR  
PHILADELPHIA, PA 19103

Date Mailed: 03/29/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with th changes noted thereon. If you received a "Notice to File Missing Parts" for this application, pleas submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Peter Lind, Uppsala, SWEDEN;  
Linda S. Wood, Portage, MI;  
Ronald R. Hiebsch, Kalamazoo, MI;  
Valerie Ruff, Portage, MI;  
Eleni Lindberg, Nacka, SWEDEN;  
Luis A. Parodi, Stockholm, SWEDEN;  
Gabriel Vogeli, Kalamazoo, MI;

**Continuing Data as Claimed by Applicant**

THIS APPLN CLAIMS BENEFIT OF 60/173,339 12/28/1999  
AND CLAIMS BENEFIT OF 60/184,305 02/23/2000  
AND CLAIMS BENEFIT OF 60/224,321 08/11/2000  
AND CLAIMS BENEFIT OF 60/200,534 04/27/2000  
AND CLAIMS BENEFIT OF 60/239,062 10/09/2000  
AND CLAIMS BENEFIT OF 60/188,880 03/13/2000  
AND CLAIMS BENEFIT OF 60/219,492 07/20/2000

**Foreign Applications**

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APR 04 2001

DOCKET DEPT.  
WWKMN



If Required, Foreign Filing License Granted 03/29/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Novel G protein-coupled receptors

**Preliminary Class**

435

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Data entry by : ZEWDIE, HULEMENAYE

Team : OIPE

Date: 03/29/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



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